### Outline

- Part 1: Motivation
- Part 2: Probabilistic Databases
- Part 3: Weighted Model Counting
- Part 4: Lifted Inference for WFOMC



- Part 5: Completeness of Lifted Inference
  - Part 6: Query Compilation
  - Part 7: Symmetric Lifted Inference Complexity
  - Part 8: Open-World Probabilistic Databases
  - Part 9: Discussion & Conclusions

## Lifted Inference on Asymmetric DB

Preprocess Q (omitted from this talk; see [Suciu'11]), then apply these rules (some have preconditions)

$$P(\neg Q) = 1 - P(Q)$$

negation

$$P(Q1 \land Q2) = P(Q1)P(Q2)$$
  
 $P(Q1 \lor Q2) = 1 - (1 - P(Q1))(1 - P(Q2))$ 

Independent join / union

$$P(\exists z \ Q) = 1 - \prod_{A \in Domain} (1 - P(Q[A/z]))$$

$$P(\forall z \ Q) = \prod_{A \in Domain} P(Q[A/z])$$

Independent project

$$P(Q1 \land Q2) = P(Q1) + P(Q2) - P(Q1 \lor Q2)$$
  
 $P(Q1 \lor Q2) = P(Q1) + P(Q2) - P(Q1 \land Q2)$ 

Inclusion/ exclusion

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y)$$

$$= \forall y (\exists x S(x,y) \Rightarrow R(y))$$

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y)$$

$$= \forall y (\exists x S(x,y) \Rightarrow R(y))$$

$$P(Q) = \Pi_{B \in Domain} P(\exists x S(x,B) \Rightarrow R(B))$$

Indep. ∀

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y) = \forall y \ (\exists x \ S(x,y) \Rightarrow R(y))$$

$$P(Q) = \Pi_{B \in Domain} P(\exists x S(x,B) \Rightarrow R(B))$$
 Indep.  $\forall$ 

$$P(Q) = \prod_{B \in Domain} [1 - P(\exists x S(x,B)) \times (1-P(R(b)))]$$

Indep. or:  $P(X\Rightarrow Y) =$   $= P(\neg X \lor Y)$ = P(X) (1-P(Y))

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y) = \forall y \ (\exists x \ S(x,y) \Rightarrow R(y))$$

$$P(Q) = \prod_{B \in Domain} P(\exists x S(x,B) \Rightarrow R(B))$$
 Indep.  $\forall$ 

$$P(Q) = \prod_{B \in Domain} [1 - P(\exists x S(x,B)) \times (1-P(R(b)))]$$

$$= P(X \Rightarrow Y) = P(X \Rightarrow Y)$$

$$= P(X \Rightarrow Y)$$

$$P(Q) = \Pi_{B \in Domain} [1 - (1 - \Pi_{A \in Domain} (1 - P(S(A, B)))) \times (1 - P(R(B)))]$$

Indep. ∃

= P(X) (1-P(Y))

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y) = \forall y \ (\exists x \ S(x,y) \Rightarrow R(y))$$

$$P(Q) = \prod_{B \in Domain} P(\exists x S(x,B) \Rightarrow R(B))$$
 Indep.  $\forall$ 

$$P(Q) = \prod_{B \in Domain} [1 - P(\exists x S(x,B)) \times (1-P(R(b)))]$$

Indep. or:  $P(X\Rightarrow Y) =$   $= P(\neg X \lor Y)$ = P(X) (1-P(Y))

$$P(Q) = \Pi_{B \in Domain} [1 - (1 - \Pi_{A \in Domain} (1 - P(S(A,B)))) \times (1 - P(R(B)))]$$

Lookup the probabilities in D

Indep. ∃

$$Q = \forall x \forall y \ S(x,y) \Rightarrow R(y) = \forall y \ (\exists x \ S(x,y) \Rightarrow R(y))$$

$$P(Q) = \prod_{B \in Domain} P(\exists x S(x,B) \Rightarrow R(B))$$
 Indep.  $\forall$ 

$$P(Q) = \prod_{B \in Domain} [1 - P(\exists x S(x,B)) \times (1-P(R(b)))]$$

Indep. or:  $P(X\Rightarrow Y) =$   $= P(\neg X \lor Y)$ = P(X) (1-P(Y))

$$P(Q) = \Pi_{B \in Domain} [1 - (1 - \Pi_{A \in Domain} (1 - P(S(A,B)))) \times (1 - P(R(B)))]$$

Lookup the probabilities in D

Runtime =  $O(n^2)$ .

Indep. ∃

### **Two Questions**

- Question 1: Are the lifted rules complete?
  - We know that they get stuck on some queries
  - Should we add more rules?

- Question 2: Are lifted rules stronger than grounded?
  - Lifted rules can also be grounded
  - Any advantage over grounded inference?

### **Two Questions**

- Question 1: Are the lifted rules complete?
  - We know that they get stuck on some queries
  - Should we add more rules?

Complete for "unate ∀FO" and for "unate ∃FO"

- Question 2: Are lifted rules stronger than grounded?
  - Lifted rules can also be grounded
  - Any advantage over grounded inference?

### **Two Questions**

- Question 1: Are the lifted rules complete?
  - We know that they get stuck on some queries
  - Should we add more rules?

Complete for "unate ∀FO" and for "unate ∃FO"

- Question 2: Are lifted rules stronger than grounded?
  - Lifted rules can also be grounded
  - Any advantage over grounded inference?

Strictly stronger than DPLL-based algorithms

### FO<sup>un</sup> = Unate FO

### An FO sentence is *unate* if:

- Negations occur only on atoms
- Every relational symbol R either occurs only positively, or only negatively

```
∀FO<sup>un</sup> (∃FO<sup>un</sup>) = restrict quantifiers too
```

```
Q = \forall x \forall y \, (Smoker(x) \, \lor \neg Friend(x,y)) \\ \land \forall x \forall y \, (\neg Friend(x,y) \, \lor \, Drinker(y))  Not unate Q = \forall x \forall y \, (Smoker(x) \, \lor \neg Friend(x,y)) \\ \land \forall x \forall y \, (Friend(x,y) \, \lor \neg Drinker(y))
```

## 1. Are the Lifted Rules Complete?

We use complexity classes

- Inference rules: PTIME data complexity
- Some queries: #P-hard data complexity

#### **Dichotomy Theorem** for ∀FO<sup>un</sup> (or ∃FO<sup>un</sup>)

- If lifted rules succeed, then query in PTIME
- If lifted rules fail, then query is #P-hard

Implies lifted rules are complete for ∀FO<sup>un</sup>, ∃FO<sup>un</sup>

Will show in two steps: Small and Big Dichotomy Theorem

### NP v.s. #P

#### **Decision Problems:**

- SAT = Satisfiability Problem
- SAT is NP-complete [Cook'71]

### Counting Problems:

- #SAT = model counting
- #SAT is #P-complete [Valiant'79]

Note: it would be wrong to say "#SAT is NP-complete"

### Positive Partitioned 2CNF

A PP2CNF is:

$$F = \Lambda_{(i,j) \in E} (x_i \vee y_j)$$

where E = the edge set of a bipartite graph

$$F = (x_1 \lor y_1) \land (x_2 \lor y_1) \land (x_2 \lor y_3) \land (x_1 \lor y_3) \land (x_2 \lor y_2)$$

$$E: \underbrace{\qquad \qquad \qquad }_{1}$$

Theorem [Provan'83] #PP2CNF is #P-hard

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

## Independent Project not possible:

For  $A_1 \neq A_2$ ,  $H_0[A_1/x]$  and  $H_0[A_2/x]$ are dependent!

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

#### Independent Project not possible: For $A_1 \neq A_2$ , $H_0[A_1/x]$ and $H_0[A_2/x]$ are dependent!

**Theorem.** Computing  $P_D(H_0)$  is #P-hard in the size of D

[Dalvi&S.2004]

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

#### Independent Project not possible: For $A_1 \neq A_2$ , $H_0[A_1/x]$ and $H_0[A_2/x]$ are dependent!

**Theorem.** Computing  $P_D(H_0)$  is #P-hard in the size of D

[Dalvi&S.2004]

**Proof:** PP2CNF:  $F = (X_{i1} \vee Y_{j1}) \wedge (X_{i2} \vee Y_{j2}) \wedge \dots$  reduce #F to computing  $P_D(H_0)$ 

By example:

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

# Independent Project not possible:

For  $A_1 \neq A_2$ ,  $H_0[A_1/x]$  and  $H_0[A_2/x]$ are dependent!

### **Theorem.** Computing $P_D(H_0)$ is #P-hard in the size of D

[Dalvi&S.2004]

**Proof:** PP2CNF:  $\mathbf{F} = (X_{i1} \vee Y_{j1}) \wedge (X_{i2} \vee Y_{j2}) \wedge \dots$  reduce #F to computing  $P_D(H_0)$ 

By example:

$$F = (X_1 \vee Y_1) \wedge (X_1 \vee Y_2) \wedge (X_2 \vee Y_2)$$

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

# Independent Project not possible:

For  $A_1 \neq A_2$ ,  $H_0[A_1/x]$  and  $H_0[A_2/x]$ are dependent!

### **Theorem.** Computing $P_D(H_0)$ is #P-hard in the size of D

[Dalvi&S.2004]

**Proof:** PP2CNF:  $\mathbf{F} = (X_{i1} \vee Y_{j1}) \wedge (X_{i2} \vee Y_{j2}) \wedge \dots$  reduce #F to computing  $P_D(H_0)$ 

By example:

$$F = (X_1 \vee Y_1) \wedge (X_1 \vee Y_2) \wedge (X_2 \vee Y_2)$$

D (tuples not shown have P=1)

R	
X	P
X <sub>1</sub>	0.5
$X_2$	0.5

 <u>_</u>		
X	Y	<b>~</b>
X <sub>1</sub>	<b>y</b> <sub>1</sub>	0
X <sub>1</sub>	<b>y</b> <sub>2</sub>	0
$X_2$	<b>y</b> <sub>2</sub>	0

Υ	P
y <sub>1</sub>	0.5
<b>y</b> <sub>2</sub>	0.5

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$

### Independent Project not possible:

For  $A_1 \neq A_2$ ,  $H_0[A_1/x]$  and  $H_0[A_2/x]$ are dependent!

### **Theorem.** Computing $P_D(H_0)$ is #P-hard in the size of D

[Dalvi&S.2004]

**Proof:** PP2CNF:  $\mathbf{F} = (X_{i1} \vee Y_{j1}) \wedge (X_{i2} \vee Y_{j2}) \wedge \dots$  reduce #F to computing  $P_D(H_0)$ 

By example:

$$F = (X_1 \vee Y_1) \wedge (X_1 \vee Y_2) \wedge (X_2 \vee Y_2)$$

 $P_D(H_0) = P(F)$ ; hence  $P_D(H_0)$  is #P-hard

D (tuples not shown have P=1)

R	
X	P
X <sub>1</sub>	0.5
$X_2$	0.5

S		
X	Υ	<b>~</b>
X <sub>1</sub>	<b>y</b> <sub>1</sub>	0
X <sub>1</sub>	<b>y</b> <sub>2</sub>	0
$X_2$	<b>y</b> <sub>2</sub>	0

Y	P
y <sub>1</sub>	0.5
<b>y</b> <sub>2</sub>	0.5

### Hierarchical Queries

Fix  $\mathbb{Q}$ ; at(x) = set of atoms (=literals) containing the variable x

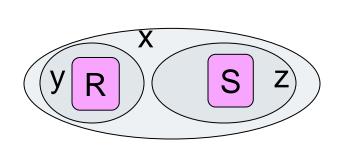
**<u>Definition</u>** Q is hierarchical if forall variables x, y:  $at(x) \subseteq at(y)$  or  $at(x) \supseteq at(y)$  or  $at(x) \cap at(y) = \emptyset$ 

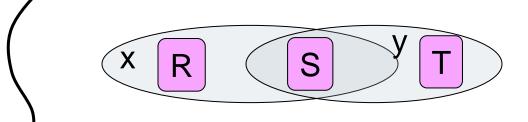
#### Hierarchical

 $\mathbf{Q} = \forall x \forall y \forall z (S(x,y) \lor T(x,z))$ 

#### Non-hierarchical

$$H_0 = \forall x \forall y (R(x) \lor S(x,y) \lor T(y))$$





## The Small Dichotomy Theorem

[Dalvi&S.04]

**Theorem** Let Q be one clause, with no repeated symbols

- If Q is hierarchical, then  $P_D(Q)$  is in PTIME.
- If Q is not hierarchical then  $P_D(Q)$  is #P-hard.

Checking "Q is hierarchical" is in AC<sup>0</sup> (expression complexity)

## The Small Dichotomy Theorem

[Dalvi&S.04]

Theorem Let Q be one clause, with no repeated symbols

- If Q is hierarchical, then  $P_D(Q)$  is in PTIME.
- If Q is not hierarchical then  $P_D(Q)$  is #P-hard.

Checking "Q is hierarchical" is in AC<sup>0</sup> (expression complexity)

[Dalvi,S.'12]

Fact: Any non-hierarchical Q in ∀FO<sup>un</sup> (∃FO<sup>un</sup>) is #P-hard

Next: consider only hierarchical queries in ∀FO<sup>un</sup> (∃FO<sup>un</sup>)

 $Q_J = \forall x_1 \forall y_1 \forall x_2 \forall y_2 \ (S(x_1, y_1) \lor R(y_1) \lor S(x_2, y_2) \lor T(y_2))$ 

```
Q_{J} = \forall x_{1} \forall y_{1} \forall x_{2} \forall y_{2} (S(x_{1}, y_{1}) \lor R(y_{1}) \lor S(x_{2}, y_{2}) \lor T(y_{2}))

= [\forall x_{1} \forall y_{1} S(x_{1}, y_{1}) \lor R(y_{1})] \lor [\forall x_{2} \forall y_{2} S(x_{2}, y_{2}) \lor T(y_{2})]
```

$$Q_{J} = \forall x_{1} \forall y_{1} \forall x_{2} \forall y_{2} (S(x_{1},y_{1}) \lor R(y_{1}) \lor S(x_{2},y_{2}) \lor T(y_{2}))$$

$$= [\forall x_{1} \forall y_{1} S(x_{1},y_{1}) \lor R(y_{1})] \lor [\forall x_{2} \forall y_{2} S(x_{2},y_{2}) \lor T(y_{2})]$$

$$P(Q_{J}) = P(Q_{1}) + P(Q_{2}) - P(Q_{1} \land Q_{2})$$
PTIME (have seen before)

$$\begin{aligned} \mathbf{Q}_{\mathsf{J}} &= \forall \mathsf{x}_{1} \forall \mathsf{y}_{1} \forall \mathsf{x}_{2} \forall \mathsf{y}_{2} \ (\mathsf{S}(\mathsf{x}_{1}, \mathsf{y}_{1}) \vee \mathsf{R}(\mathsf{y}_{1}) \vee \mathsf{S}(\mathsf{x}_{2}, \mathsf{y}_{2}) \vee \mathsf{T}(\mathsf{y}_{2})) \\ &= \left[ \forall \mathsf{x}_{1} \forall \mathsf{y}_{1} \mathsf{S}(\mathsf{x}_{1}, \mathsf{y}_{1}) \vee \mathsf{R}(\mathsf{y}_{1}) \right] \vee \left[ \forall \mathsf{x}_{2} \forall \mathsf{y}_{2} \mathsf{S}(\mathsf{x}_{2}, \mathsf{y}_{2}) \vee \mathsf{T}(\mathsf{y}_{2}) \right] \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{2}) - \mathsf{P}(\mathsf{Q}_{1} \wedge \mathsf{Q}_{2}) \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) - \mathsf{P}(\mathsf{Q}_{1} \wedge \mathsf{Q}_{\mathsf{J}}) \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) - \mathsf{P}(\mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}}) \\ &= \mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}} = \mathsf{V} \mathsf{Y} \left[ (\forall \mathsf{x}_{\mathsf{J}} \mathsf{S}(\mathsf{x}_{\mathsf{J}}, \mathsf{y}) \vee \mathsf{R}(\mathsf{y})) \wedge (\forall \mathsf{x}_{\mathsf{J}} \mathsf{S}(\mathsf{x}_{\mathsf{J}}, \mathsf{y})) \vee \mathsf{T}(\mathsf{y}) \right] \\ &= \forall \mathsf{y} \left[ \forall \mathsf{x} \, \mathsf{S}(\mathsf{x}, \mathsf{y}) \vee (\mathsf{R}(\mathsf{y}) \wedge \mathsf{T}(\mathsf{y})) \right] \end{aligned}$$

$$\begin{aligned} \mathbf{Q}_{\mathsf{J}} &= \forall \mathsf{x}_1 \forall \mathsf{y}_1 \forall \mathsf{x}_2 \forall \mathsf{y}_2 \ (\mathsf{S}(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{R}(\mathsf{y}_1) \vee \mathsf{S}(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{T}(\mathsf{y}_2)) \\ &= \left[ \forall \mathsf{x}_1 \forall \mathsf{y}_1 \mathsf{S}(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{R}(\mathsf{y}_1) \right] \vee \left[ \forall \mathsf{x}_2 \forall \mathsf{y}_2 \mathsf{S}(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{T}(\mathsf{y}_2) \right] \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) - \mathsf{P}(\mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}}) \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) - \mathsf{P}(\mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}}) \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) + \mathsf{P}(\mathsf{Q}_{\mathsf{J}}) - \mathsf{P}(\mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}}) \\ &= \mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}} = \mathsf{V} \mathsf{y} \left[ (\forall \mathsf{x}_1 \mathsf{S}(\mathsf{x}_1, \mathsf{y}) \vee \mathsf{R}(\mathsf{y})) \wedge (\forall \mathsf{x}_2 \mathsf{S}(\mathsf{x}_2, \mathsf{y})) \vee \mathsf{T}(\mathsf{y}) \right] \\ &= \forall \mathsf{y} \left[ \forall \mathsf{x} \; \mathsf{S}(\mathsf{x}, \mathsf{y}) \vee (\mathsf{R}(\mathsf{y}) \wedge \mathsf{T}(\mathsf{y})) \right] \\ &= \mathsf{P}(\mathsf{Q}_{\mathsf{J}} \wedge \mathsf{Q}_{\mathsf{J}}) = \mathsf{\Pi}_{\mathsf{B} \in \mathsf{Domain}} \; \mathsf{P}[\forall \mathsf{x} . \mathsf{S}(\mathsf{x}, \mathsf{B}) \vee (\mathsf{R}(\mathsf{B}) \wedge \mathsf{T}(\mathsf{B}))] = \dots \mathsf{etc} \end{aligned}$$

Runtime =  $O(n^2)$ .

$$H_0 = R(x) \vee S(x,y) \vee T(y)$$

Will drop ∀ to reduce clutter

 $H_1 = [R(x_0) \lor S(x_0, y_0)] \land [S(x_1, y_1) \lor T(y_1)]$ 

Every H<sub>k</sub>, k≥1 is hierarchical

$$H_0 = R(x) \vee S(x,y) \vee T(y)$$

Will drop ∀ to reduce clutter

$$H_1 = [R(x_0) \lor S(x_0, y_0)] \land [S(x_1, y_1) \lor T(y_1)]$$

$$H_2 = [R(x_0) \lor S_1(x_0, y_0)] \land [S_1(x_1, y_1) \lor S_2(x_1, y_1)] \lor [S_2(x_2, y_2) \lor T(y_2)]$$

Every H<sub>k</sub>, k≥1 is hierarchical

$$H_0 = R(x) \vee S(x,y) \vee T(y)$$

Will drop ∀ to reduce clutter

$$H_1 = [R(x_0) \lor S(x_0, y_0)] \land [S(x_1, y_1) \lor T(y_1)]$$

$$H_2 = [R(x_0) \lor S_1(x_0, y_0)] \land [S_1(x_1, y_1) \lor S_2(x_1, y_1)] \lor [S_2(x_2, y_2) \lor T(y_2)]$$

$$\mathbf{H_3} = [\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)] \wedge [\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)] \wedge [\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)] \wedge [\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)]$$

. . .

Every H<sub>k</sub>, k≥1 is hierarchical

$$H_0 = R(x) \vee S(x,y) \vee T(y)$$

Will drop ∀ to reduce clutter

$$H_1 = [R(x_0) \lor S(x_0, y_0)] \land [S(x_1, y_1) \lor T(y_1)]$$

$$H_2 = [R(x_0) \lor S_1(x_0, y_0)] \land [S_1(x_1, y_1) \lor S_2(x_1, y_1)] \lor [S_2(x_2, y_2) \lor T(y_2)]$$

$$\mathbf{H_3} = [\mathsf{R}(\mathsf{x}_0) \lor \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)] \land [\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \lor \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)] \land [\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \lor \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)] \land [\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \lor \mathsf{T}(\mathsf{y}_3)]$$

. . .

Every H<sub>k</sub>, k≥1 is hierarchical

**Theorem.** [Dalvi&S'12] Every query H<sub>k</sub> is #P-hard

# A Closer Look at H<sub>k</sub>

If we drop any one clause → in PTIME

 $\mathbf{H_3} = [\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)] \wedge [\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)] \wedge [\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)] \wedge [\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)]$ 

Independent join

# A Closer Look at H<sub>k</sub>

If we drop any one clause → in PTIME

$$H_3 = [R(x_0) \lor S_1(x_0, y_0)] \land [S_1(x_1, y_1) \lor S_2(x_1, y_1)] \land [S_2(x_2, y_2) \lor S_3(x_2, y_2)] \land [S_3(x_3, y_3) \lor T(y_3)]$$



If we replace  $T(y_3)$  with  $T(x_3)$  then in PTIME

 $[\mathsf{R}(\mathsf{x}_0) \land \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)] \land [\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \lor \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)] \land [\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \lor \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)] \land [\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \lor \mathsf{T}(\mathsf{x}_3)]$ 

Independent project on  $x_0 = x_1 = x_2 = x_3$ 

### Cancellations

 $Q_W$  = a Boolean expression over the clauses in  $H_3$  Yet, in PTIME

```
 \begin{aligned} \mathbf{Q}_{\mathsf{W}} &= \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)) \right] \vee \quad /^* \; \mathsf{Q}_1 \; ^* / \\ & \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad \vee \quad /^* \; \mathsf{Q}_2 \; ^* / \\ & \left[ (\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad & /^* \; \mathsf{Q}_3 \; ^* / \end{aligned}
```

### Cancellations

 $Q_W$  = a Boolean expression over the clauses in  $H_3$  Yet, in PTIME

$$\begin{aligned} \mathbf{Q}_{\mathsf{W}} &= \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)) \right] \vee \quad /^* \; \mathbf{Q}_1 \; ^* / \\ & \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad \vee \quad /^* \; \mathbf{Q}_2 \; ^* / \\ & \left[ (\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad /^* \; \mathbf{Q}_3 \; ^* / \end{aligned}$$

$$P(Q_{W}) = P(Q_{1}) + P(Q_{2}) + P(Q_{3}) + P(Q_{1} \land Q_{2}) - P(Q_{2} \land Q_{3}) - P(Q_{1} \land Q_{3}) + P(Q_{1} \land Q_{2} \land Q_{3}) = H_{3} \text{ (hard !)}$$

$$Also = H_{3}$$

### Cancellations

 $Q_W$  = a Boolean expression over the clauses in  $H_3$  Yet, in PTIME

$$\begin{aligned} \mathbf{Q}_{\mathsf{W}} &= \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_2(\mathsf{x}_2, \mathsf{y}_2) \vee \mathsf{S}_3(\mathsf{x}_2, \mathsf{y}_2)) \right] \vee \quad /^* \; \mathbf{Q}_1 \; ^* / \\ & \left[ (\mathsf{R}(\mathsf{x}_0) \vee \mathsf{S}_1(\mathsf{x}_0, \mathsf{y}_0)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad \vee \quad /^* \; \mathbf{Q}_2 \; ^* / \\ & \left[ (\mathsf{S}_1(\mathsf{x}_1, \mathsf{y}_1) \vee \mathsf{S}_2(\mathsf{x}_1, \mathsf{y}_1)) \quad \wedge \quad (\mathsf{S}_3(\mathsf{x}_3, \mathsf{y}_3) \vee \mathsf{T}(\mathsf{y}_3)) \right] \quad /^* \; \mathbf{Q}_3 \; ^* / \end{aligned}$$

$$P(Q_W) = P(Q_1) + P(Q_2) + P(Q_3) +$$
 $- P(Q_1 \land Q_2) - P(Q_2 \land Q_3) - P(Q_1 \land Q_3) + P(Q_1 \land Q_2 \land Q_3)$ 
 $+ P(Q_1 \land Q_2 \land Q_3) = H_3 \text{ (hard !)}$ 

Need to cancel terms to compute the query in PTIME Using Mobius' function in the the lattice of Q's minterms [Suciu'11]

# The Big Dichotomy Theorem

Call Q *liftable* if the rules don't get stuck.

**Dichotomy Theorem** [Dalvi'12] Fix a ∀FO<sup>un</sup> query Q.

- 1. If Q is liftable, then P(Q) is in PTIME
- 2. If Q is not liftable, then P(Q) is #P-complete

Note Original formulation for UCQ; Immediate extension to ∀FO<sup>un</sup> and for ∃FO<sup>un</sup>

### Discussion

 This answers Question 1: lifted inference rules are complete for ∀FO<sup>un</sup> (and for ∃FO<sup>un</sup>)

- Notice: we did not use any symmetries!
- Beyond unate FO? Conjectures:
  - Rules+resolution\* complete for CNF-FO
  - No complete set of rules for FO

```
* Q = \forall x \forall y (R(x) \lor S(x,y)) \land \forall x \forall y (\neg S(x,y) \lor T(y))
= \forall x \forall y (R(x) \lor S(x,y)) \land \forall x \forall y (\neg S(x,y) \lor T(y)) \land \forall x \forall y (R(x) \lor T(y))
```